

PATENT
#01-0367-UNI
Case #F7590

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LB
12/18/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Van Oorschot et al.
Deposited: February 8, 2002
For: PROCESS FOR THE PREPARATION OF ONE OR MORE STATINS BY
FERMENTATION

Edgewater, New Jersey 07020
February 8, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

With regard to the above-identified application filed concurrently herewith, please
amend the following:

In the Claims:

Please enter the following amended claims:

4. (Amended) Process according to claim 1, wherein the statins producing fungus
is a *Monascus* fungus.

5. (Amended) Process according to claim 1, wherein an amount of oil being at
least 5 wt.% oil is present in the substrate during fermentation.